

P A T E N T

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: PHILLIP D. PURDY Confirmation No.: 4825  
 Serial No.: 09/905,670 Examiner: Unassigned  
 Filed: JULY 13, 2001 Group Art Unit: 3763  
 For: METHODS AND APPARATUSES FOR NAVIGATING THE  
 SUBARACHNOID SPACE  
 Docket No.: 1001.1599102 Customer No.: 28075

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

TRANSMITTAL SHEET

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**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 4<sup>th</sup> day of MAY, 2004.

By J. Scot Wickhem  
 J. Scot Wickhem

Dear Sir:

We are transmitting herewith the attached:

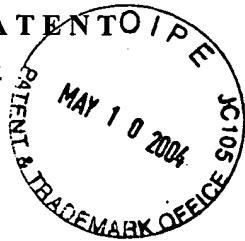
- Information Disclosure Statement under 37 C.F.R. §1.97(b)
- Form PTO-1449 and Six (6) reference are enclosed
- Postcard receipt
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413

By: J. Scot Wickhem  
 J. Scot Wickhem, Reg. No. 41,376

CROMPTON, SEAGER & TUFTE, LLC  
 1221 Nicollet Avenue, Suite 800  
 Minneapolis, Minnesota 55403-2420  
 Telephone: (612) 677-9050  
 Facsimile: (612) 359-9349

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: PHILLIP D. PURDY Confirmation No.: 4825  
Serial No.: 09/905,670 Examiner: Unassigned  
Filing Date: JULY 13, 2001 Group Art Unit: 3763  
Title: METHODS AND APPARATUSES FOR NAVIGATING THE SUBARACHNOID SPACE  
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**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

**RECEIVED**

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By J. Scot Wickhem

J. Scot Wickhem

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the items of information listed on the attached PTO-1449 is brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent documents, non-published patent application, and non-patent literature listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: May 4, 2004

J. Scot Wickhem, Reg. No. 41,376

  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

|   |  |                                   |                           |
|---|--|-----------------------------------|---------------------------|
| FORM PTO-1449*<br>INFORMATION DISCLOSURE STATEMENT                |  | Atty. Docket No.:<br>1001.1599102 | Serial No.:<br>09/905,670 |
|   |  | Applicant: PHILLIP D. PURDY       |                           |
| O I P E<br>IN AN APPLICATION<br>(Use several sheets if necessary) |  | Confirmation No.: 4825            |                           |
|   |  | Filing Date: JULY 13, 2001        | Group Art: 3763           |



## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE       | NAME               | CLASS | FILING DATE IF APPROPRIATE |
|------------------|--------------|------------|--------------------|-------|----------------------------|
|                  | 4,973,305    | 11/27/1990 | Goltzer            |       |                            |
|                  | 5,445,625    | 08/29/1995 | Voda               |       |                            |
|                  | 5,613,950    | 03/25/1997 | Yoon               |       |                            |
|                  | 5,833,632    | 11/10/1998 | Jacobsen et al.    |       |                            |
|                  | 6,080,140    | 06/27/2000 | Swaminathan et al. |       |                            |
|                  |              |            |                    |       |                            |

## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NO. | DATE       | COUNTRY | CLASS | TRANSLATION |    |
|--|--------------|------------|---------|-------|-------------|----|
|  |              |            |         |       | YES         | NO |
|  | WO 02/068036 | 09/06/2002 | WIPO    |       |             |    |
|  |              |            |         |       |             |    |
|  |              |            |         |       |             |    |
|  |              |            |         |       |             |    |
|  |              |            |         |       |             |    |
|  |              |            |         |       |             |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER   | DATE CONSIDERED |
| EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                 |